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PTO/SB/21 (08-00)
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Application Number 10/646,612

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Applicati n Numb r	10/646,612	•			
Filing Date	08/22/2003				
First Named Inventor	Kenneth COLLINS, et al.				
Group Art Unit	N/A				
Examiner Name	Unknown				
Attorney Docket Number	8401.04				

		ENCLOSURES (checi	k all that apply)			
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):			
	SIGNATI	I I PE OF APPLICANT ATTORNEY OF	AGENT			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm or Individual name SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Robert M. Wallace Reg. No. 29,119						
Signature TWBENMWSULE—						
Date	00/18/2002					
		CERTIFICATE OF MAILING				

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

September 18, 2003

Typed or printed name

Shelly Hart

Signature

Date

09/18/2003

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CERTIFICATE OF MAILING
I hereby certify that this piper and every paper referred to therein as being enclosed is deposited with the U.S. Peetal Service as first class mail, postage proposed, in an envelope addressed to Campingage.

r Patenta, P.O. Ben 1450, Alexandria, VA 22313-1480

PATENT

Attorney Docket No. 8401 04 RW Ref. No. APM/001-02-CP4

SEP 2 2 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
 Kenneth COLLINS, et al.

Entitled: PLASMA IMMERSION ION
 IMPLANTATION PROCESS USING A
 CAPACITIVELY COUPLED PLASMA SOURCE
 HAVING LOW DISSOCIATION AND LOW
 MINIMUM PLASMA VOLTAGE

Serial No.: 10/646,612

Filing Date: August 22, 2003

CROUP Art Unit: Unknown

Examiner: Unknown

DESCRIPTION OF THE PROPERTY OF THE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

Respectfully submitted,

Dated: 09-18-2003

Robert M. Wallace

Registration No. 29,119 Attorney for Applicants

Mum Walla_

Robert M. Wallace Patent Counsel 2112 Eastman Avenue, Suite 102 Ventura, CA 93003 (805) 644-4035 INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 6

OIPE APPLICANT SEP 2 2 2003 **Application Number:**

Filing Date:

10/646,612 August 22, 2003

First Named Inventor:

Kenneth COLLINS, et al.

Group Art Unit: Examiner Name:

Unknown Unknown

Attorney Docket Number: 008401 04

U. S. PATENT DOCUM	1Ŀ	NIS
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Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-2,344,138	03-14-1944	DRUMMOND	117	107	05-20-1940
	US-3,109,100	10-29-1963	GECEWICZ	250	219	05-19-1960
	US-3,576,685	04-27-1971	SWANN ET AL.	148	187	03-15-1968
	US-3,907,616	09-23-1975	WIEMER	148	188	08-16-1974
	US-4,116,791	09-26-1978	ZEGA	204	192	05-18-1977
	US-4,382,099	05-03-1983	LEGGE ET AL.	427	34	10-26-1981
	US-4,385,946	05-31-1983	FINEGAN ET AL.	148	175	06-19-1981
	US-4,434,036	02-28-1984	HOERSCHELMANN ET AL.	204	164	05-03-1982
	US-4,465,529	08-14-1984	ARIMA ET AL.	148	188	06-04-1982

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Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)
	EP 0 546 852 A1	16.06.1993	EUROPE	CHARLES ZAROWIN ET AL.	N/A
	EP 0 836 218 A2	15.04.1998	EUROPE	SERGIO EDELSTEIN ET AL.	N/A
	EP 0 964 074 A2	15.12.1999	EUROPE	ALEC DENHOLM ET AL.	N/A
	EP 1 111 084 A1	27.06.2001	EUROPE	JAMES BERNSTEIN ET AL.	N/A
	EP 1 191 121 A1	27.03.2002	EUROPE	MICHELANGELO DELFINO	N/A

Examiner NON PATENT LITERATURE DOCUMENTS Initials

Zhang, B.C., and Cross, R.C., "A high power radio frequency transformer for plasma production," *Rev. Sci. Instrum.*, Vol. 69, No. 1, pp. 101-108, January 1998.

U.S. Patent Application Serial No.: 09/638,075, filed August 11, 2000, entitled, "EXTERNALLY EXCITED TORROIDAL PLASMA SOURCE," By Hiroji Hanawa, et al.

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPI

Sheet 2 of 6

SEP 2 2 2003

Application Number:

Filing Date: First Named Inventor: 10/646,612

August 22, 2003 Kenneth COLLINS, et al.

Group Art Unit: **Examiner Name:**

Unknown Unknown Attorn y Docket Number: 008401 04

U. S. PATENT DOCUMENTS

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Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-4,481,229	11-06-1984	SUZUKI ET AL.	427	38	06-20-1983
	US-4,500,563	02-19-1985	ELLENBERGER ET AL.	427	38	12-15-1982
	US-4,521,441	06-04-1985	FLOWERS	427	38	12-19-1983
	US-4,539,217	09-03-1985	FARLEY	427	10	06-27-1984
	US-4,565,588	01-21-1986	SEKI ET AL.	148	186	10-10-1984
	US-4,698,104	10-06-1987	BARKER ET AL.	437	141	03-02-1987
	US-4,764,394	08-16-1988	CONRAD	427	38	01-20-1987
	US-4,778,561	10-18-1988	GHANBARI	156	643	10-30-1987
	US-4,867,859	09-19-1989	HARADA ET AL.	204	298	08-04-198
	US-4,871,421	10-03-1989	OGLE ET AL.	156	643	09-15-198
	US-4,912,065	05-27-1990	MIZUNO ET AL.	437	141	05-26-198
	US-4,937,205	06-26-1990	NAKAYAMA ET AL.	437	165	08-04-198
	US-4,948,458	08-14-1990	OGLE	156	643	08-14-199
	US-5,061,838	10-29-1991	LANE ET AL.	219	121.59	06-23-1989

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Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)				
	JP 59-218728	10.12.1984	JAPAN	UNKNOWN	NO				
	JP 59-86214	18.5.1984	JAPAN	UNKNOWN	NO				
	JP 62-120041	1.6.1987	JAPAN	UNKNOWN	NO				
	WO 93/18201	16.09.2003	WIPO	FELCH ET AL.	N/A				

NON PATENT LITERATURE DOCUMENTS Examiner Initials

Examiner's Signature:

Date Considered:

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 3 of 6

SEP 2 2 2003

Application Number:

10/646,612

Filing Date:

August 22, 2003 Kenneth COLLINS, et al.

First Named Inventor: Group Art Unit: Examiner Name:

Unknown Unknown

Attorney Docket Number: 008401 04

U. S. PATENT DOCUMENTS

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Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-5,106,827	04-21-1992	BORDEN ET AL.	505	1	02-05-1991
	US-5,107,201	04-21-1992	OGLE	324	72.5	12-11-1990
	US-5,270,250	12-14-1993	MURAI ET AL.	437	165	12-23-1991
	US-5,277,751	01-11-1994	OGLE	156	643	06-18-1992
	US-5,290,382	03-01-1994	ZAROWIN ET AL.	156	345	12-13-1991
	US-5,312,778	05-17-1994	COLLINS ET AL.	437	225	11-23-1990
	US-5,354,381	10-11-1994	SHENG	118	723	05-07-1993
	US-5,435,881	07-25-1995	OGLE	156	345	03-17-1994
	US-5,505,780	04-09-1996	DALVIE ET AL.	118	723	03-18-1992
	US-5,514,603	05-07-1996	SATO	437	16	05-06-1994
	US-5,520,209	05-28-1996	GOINS ET AL.	137	246	12-03-1993
	US-5,542,559	08-06-1996	KAWAKAMI ET AL.	216	67	02-14-1994
	US-5,561,072	10-01-1996	SAITO	437	24	11-21-199
	US-5,569,363	10-29-1996	BAYER ET AL.	204	192.32	10-25-199
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Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)
	WO 99/00832	07.01.1999	WIPO	DONALD SMITH ET AL.	N/A
	WO 01/11651 A1	15.02.2001	WIPO	LEONARD MAHONEY ET AL.	N/A
	WO 02/25694 A2	28.03.2002	WIPO	JAMES BERNSTEIN ET AL.	N/A

NON PATENT LITERATURE DOCUMENTS

Examiner's Signature:

Examiner Initials

Date Considered:

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

SEP 2 2 2003

Sheet 4 of 6

Application Number:

10/646,612

Filing Date: First Named Inventor:

August 22, 2003 Kenneth COLLINS, et al.

Group Art Unit: Examiner Name:

Unknown Unknown Attorney Docket Number: 008401 04

Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-5,587,038	12-24-1996	CECCHI ET AL.	156	345	06-16-1994
	US-5,627,435	05-06-1997	JANSEN ET AL.	315	111.21	02-15-1996
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	US-5,654,043	08-05-1997	SHAO ET AL.	427	527	10-10-1996
	US-5,674,321	10-07-1997	PU ET AL.	118	723	04-28-1995
	US-5,683,517	11-04-1997	SHAN	118	723	06-07-1995
	US-5,711,812	01-27-1998	CHAPEK ET AL.	118	723	06-06-1995
	US-5,718,798	02-17-1998	DEREGIBUS	156	429	04-10-1996
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	US-5,897,752	04-27-1999	HONG ET AL.	204	192.12	05-20-1997
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	US-5,994,236	11-30-1999	OGLE	438	728	01-23-1998

Foreign Patent **Publication Date** Name of Patentee or Applicant of Cited Translation? Examiner Country Initials Document Document (Yes/No/n/a) Examiner NON PATENT LITERATURE DOCUMENTS Initials

Examiner's Signature:

Date Considered:

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT SP 1 2 2003

Application Number:

10/646,612

Filing Date: First Named Inventor:

August 22, 2003 Kenneth COLLINS, et al.

Group Art Unit: Examiner Name: Unknown

Examiner Name: Unknown Attorney Docket Number: 008401 04

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Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
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	US-5,998,933	12-07-1999	SHUN'KO	315	11.51	04-06-1998
	US-6,020,592	02-01-2000	LIEBERT ET AL.	250	492.21	08-03-1998
	US-6,041,735	03-28-2000	MURZIN ET AL.	118	723	03-02-1998
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Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

SEP 2 2 2003

Sheet 6 of 6

Application Number:

10/646,612

Filing Date:

August 22, 2003 Kenneth COLLINS, et al.

First Named Inventor: Group Art Unit:

Unknown

Examiner Name:

Unknown Attorney Docket Number: 008401 04

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Examiner Initials	Document No		Publication Date		Name of Patentee or Applicant of Cited Document		Class	Subclass	Filing Date
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	US-6,305,316 B1 US-6,335,536 B1 US-6,339,297 B1 US-6,341,574 B1 US-6,348,126 B1 US-6,403,453 B1 US-6,410,449 B1 US-6,418,874 B1 US-6,433,553 B1 US-6,453,842 B1 US-6,468,388 B1 US-6,494,986 B1 US-6,514,838 B2 US-6,551,446 B1 US-6,559,408 B2 US 2001/0042827 A1 US 2002/0047543 A1		10-23-2001 01-01-2002 01-15-2002 01-29-2002 02-19-2002 06-11-2002 07-25-2002 07-16-2002 08-13-2002 09-24-2002 10-22-2002 12-17-2002 02-04-2003 04-22-2003 05-06-2003 11-22-2001 04-25-2002		DIVERGILIO ET AL. GOECKNER ET AL. SUGAI ET AL. BAILEY III ET AL. HANAWA ET AL. ONO ET AL. HANAWA ET AL. COX ET AL. GOECKNER ET AL. HANAWA ET AL. HANAWA ET AL. CHAN HANAWA ET AL. SMITH ET AL. SUGAI ET AL.		118	723	07-20-2000
							250	492.3	10-27-1999
							315	111.21	07-21-1999
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			FOREIC	SN PATI	ENT DOCUME	NTS			
Examiner Initials	Foreign Patent Publicat Document		ion Date		Country Name of Pate		ntee or Applicant of Cited Document		Translation? (Yes/No/n/a)
Examiner's	Signature:					Date Consid	ered:		

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